



Recursion: A tool for Algorithm Designer

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ES103: Course Summary

- **Estimating algorithmic complexities**
- Tools like Recursion, Iteration
- ADT
 - Linear data organizations like Arrays, Stacks, queues, Linked lists
 - Non-linear data organizations like hashing, trees (and its many variances), graphs (and many variances)
 - Examples
- **Standard Problems: Searching and Sorting**
- Standard Problem Solving Approaches like “**Divide and Conquer**”, Greedy, Dynamic Programming, Branch & Bound, Backtracking



Recursion

- A programming tool for implementing “Divide and Conquer” Approach of Problem Solving
- Infinite computations by finite statements
- Recursive computations/functions call themselves
- A recursive function must have
 - Expression for sub division
 - Termination condition



An Example: Factorial

```
int factorial(n)  
  if n == 1,  
    return 1  
  else  
    return (n × factorial(n-1))  
end factorial
```

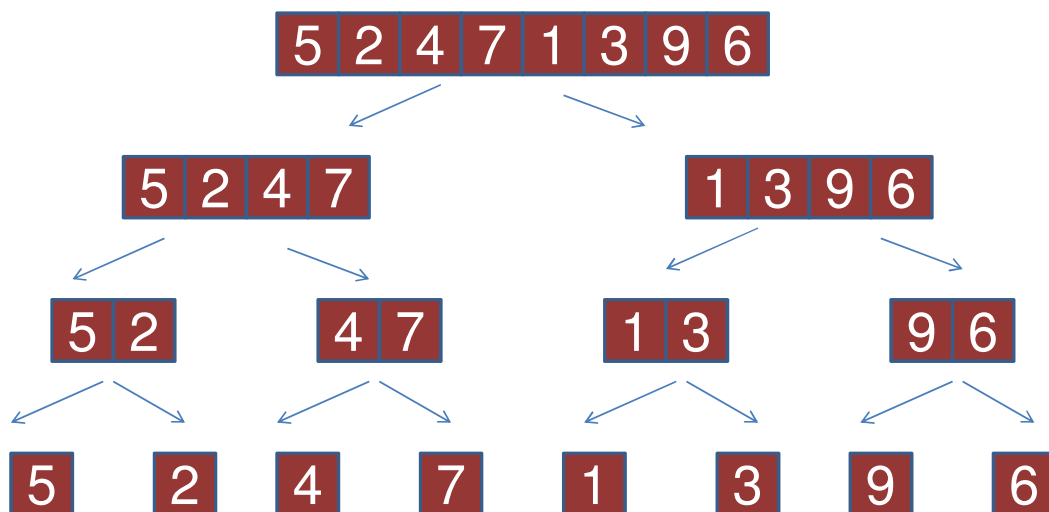


Divide and Conquer Approach

- Divide the problem into sub problems
- Conquer each sub problem autonomously
- Combine the solutions of the sub problems

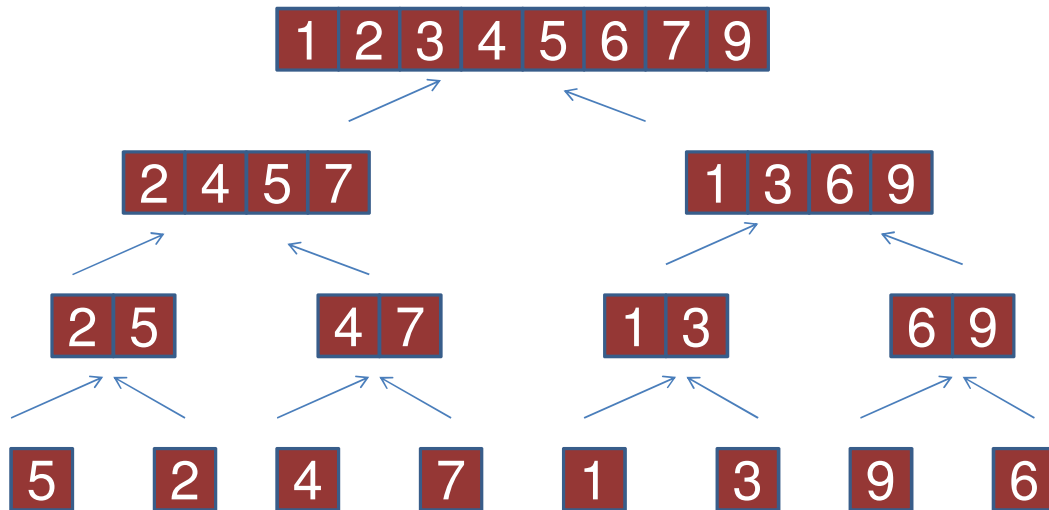


Merge Sort





Merge Sort



Algorithm: Merge Sort

```
merge-sort( $A, p, r$ )  
  if  $p < r$   
    then  $q \leftarrow \lfloor (p + r) / 2 \rfloor$   
    merge-sort( $A, p, q$ )  
    merge-sort( $A, q + 1, r$ )  
    merge( $A, p, q, r$ )
```

```
merge( $A, p, q, r$ )  
1  $n1 \leftarrow q - p + 1$   
2  $n2 \leftarrow r - q$   
3 create arrays  $L[n1]$  and  $R[n2]$   
4 for ( $i \leftarrow 1; i \leq n1; i++$ )  
5    $L[i] \leftarrow A[p + i - 1]$   
6 for ( $j \leftarrow 1; j \leq n2; j++$ )  
7    $R[j] \leftarrow A[q + j]$   
8  $i \leftarrow 1$   
9  $j \leftarrow 1$   
10 for ( $k \leftarrow p; k \leq r; k++$ ) do  
11   if  $L[i] \leq R[j]$   
12     then  $A[k] \leftarrow L[i]$   
13      $i \leftarrow i + 1$   
14   else  $A[k] \leftarrow R[j]$   
15      $j \leftarrow j + 1$ 
```



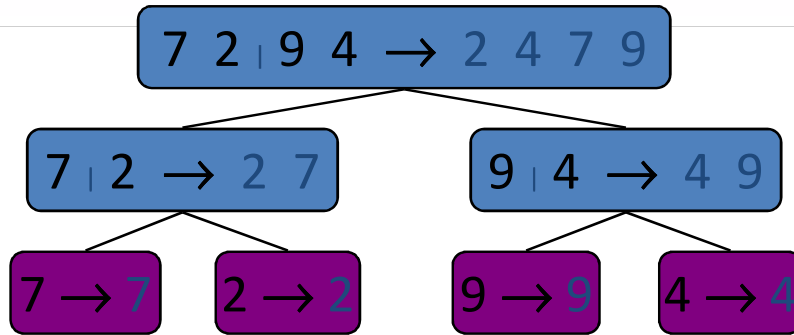
Merge-Sort Tree

```

merge-sort(A, p, r)
  if p < r
    then q ← ⌊(p + r)/2⌋
    merge-sort(A, p, q)
    merge-sort(A, q + 1, r)
    merge(A, p, q, r)

```

- An execution of merge-sort is depicted by a binary tree
 - each node represents a recursive call of merge-sort and stores
 - unsorted sequence before the execution and its partition
 - sorted sequence at the end of the execution
 - the root is the initial call
 - the leaves are calls on subsequences of size 1



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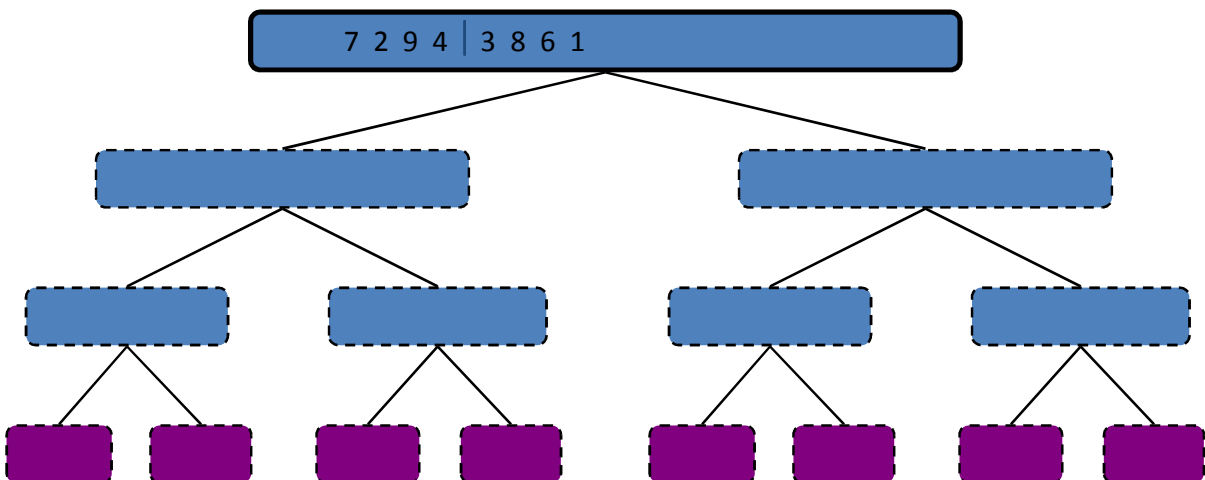
Execution Example

```

merge-sort(A, p, r)
  if p < r
    then q ← ⌊(p + r)/2⌋
    merge-sort(A, p, q)
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    merge(A, p, q, r)

```

- Partition

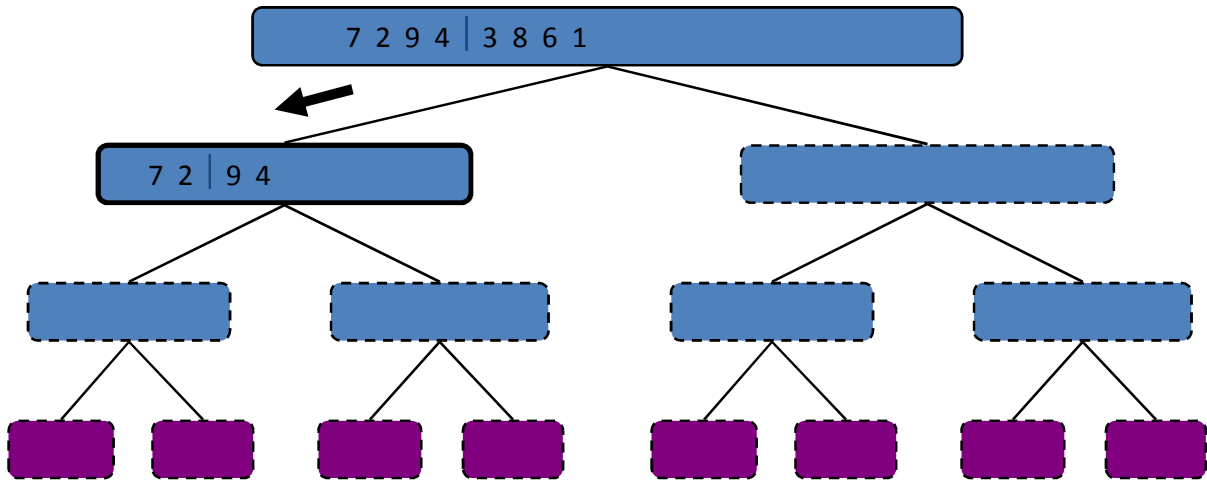




Execution (cont.)

```
merge-sort(A, p, r)
  if p < r
    then q ← ⌊(p + r)/2⌋
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    merge-sort(A, q + 1, r)
    merge(A, p, q, r)
```

- Recursive call, partition



Merge Sort

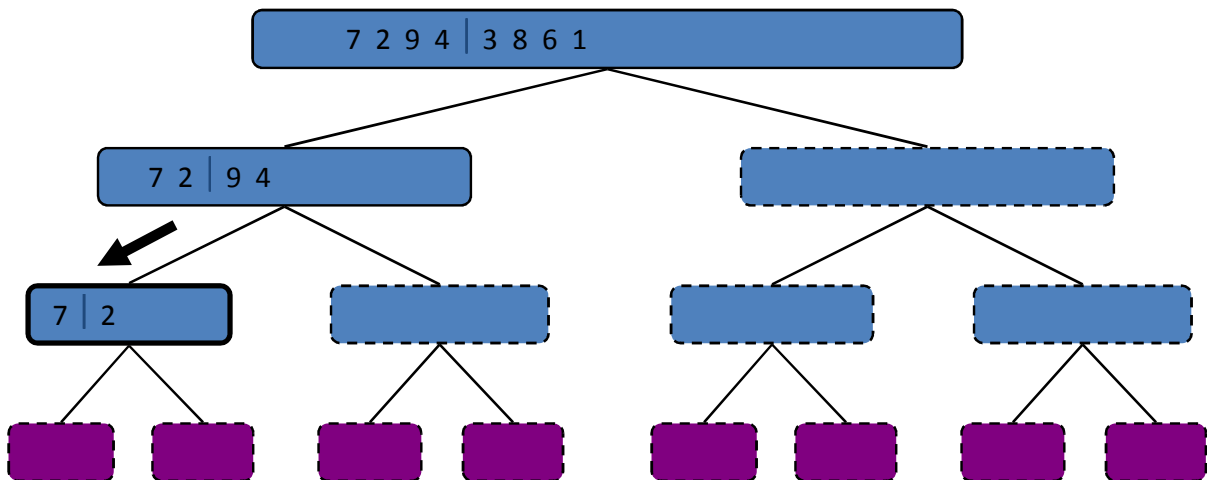
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Execution (cont.)

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```

- Recursive call, partition



Merge Sort

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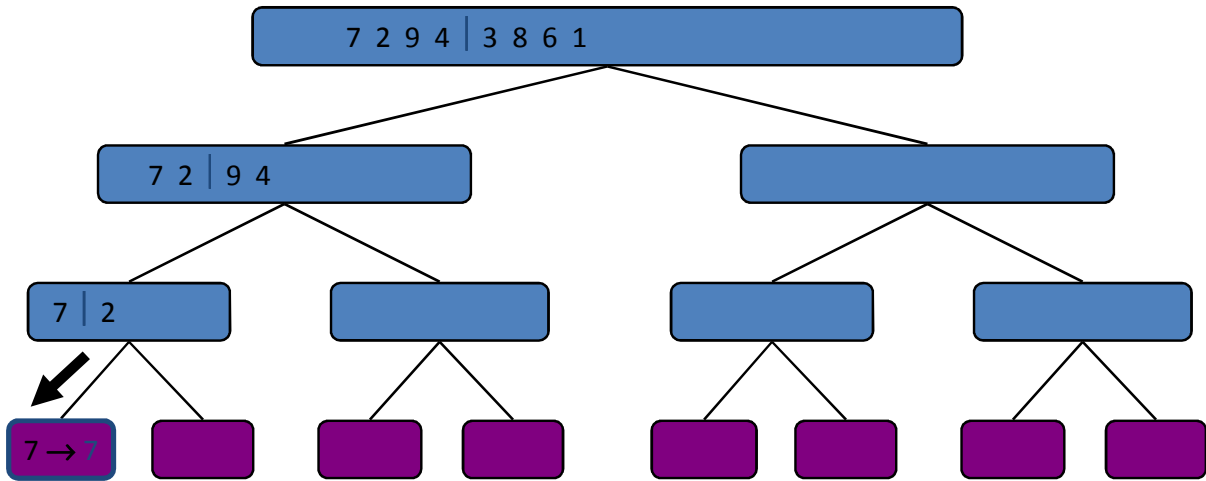
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    merge(A, p, q, r)

```

- Recursive call, base case



Merge Sort

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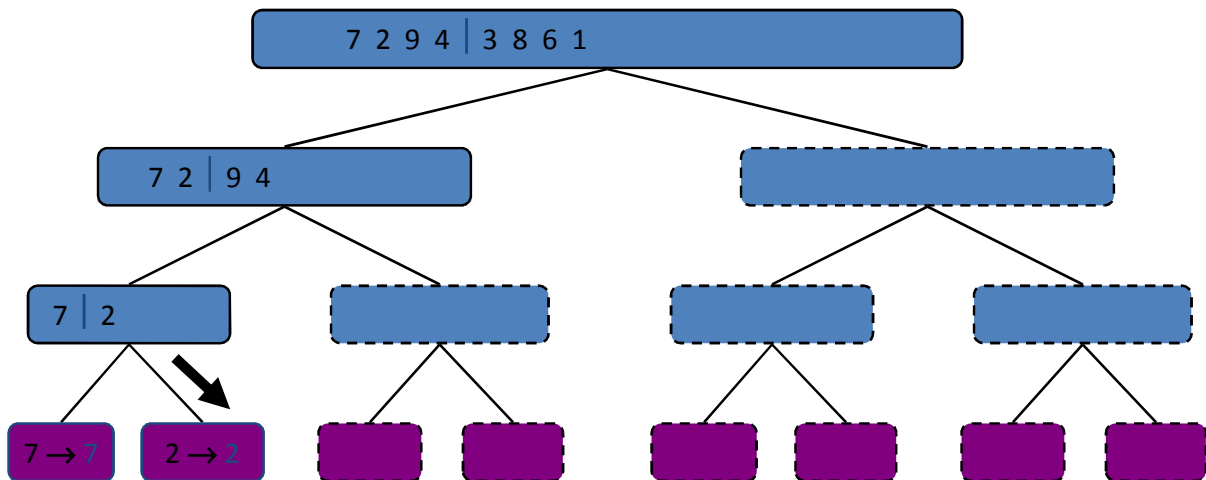
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Merge Sort

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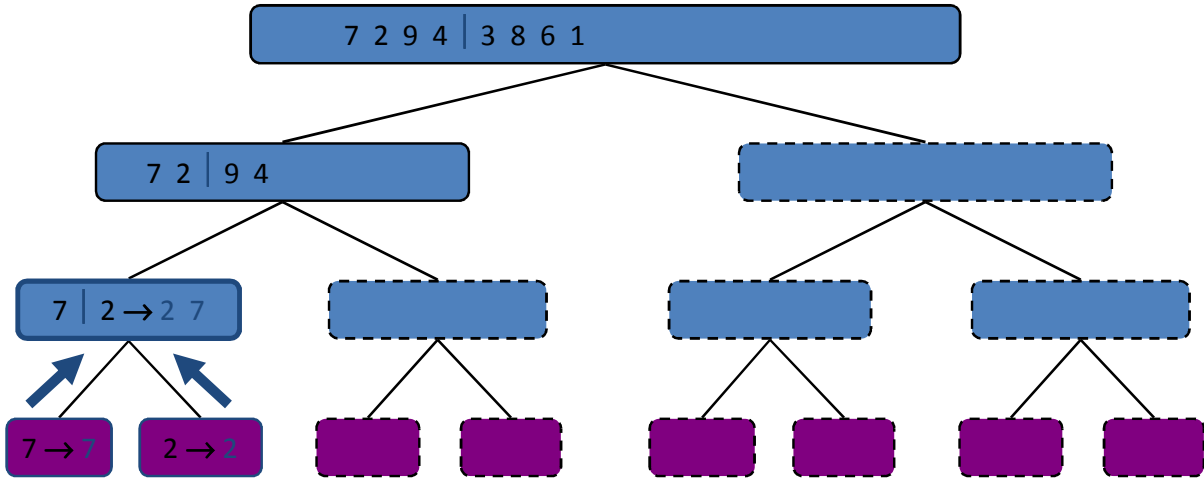
Execution (cont.)

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  if p < r
    then q ← ⌊(p + r)/2⌋
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```

- Merge



Merge Sort

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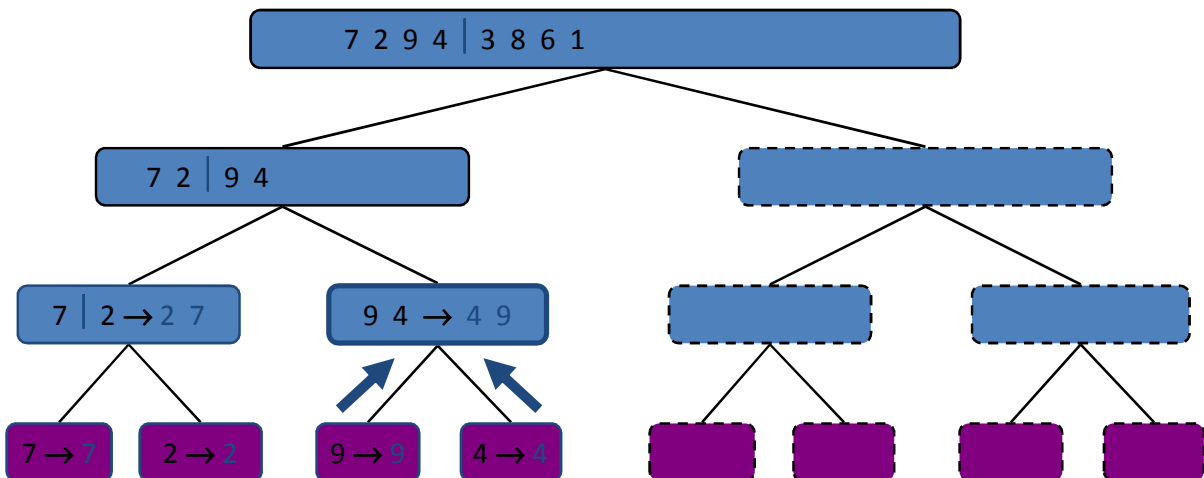
Execution (cont.)

```

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  if p < r
    then q ← ⌊(p + r)/2⌋
    merge-sort(A, p, q)
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    merge(A, p, q, r)

```

- Recursive call, ..., base case, merge



Merge Sort

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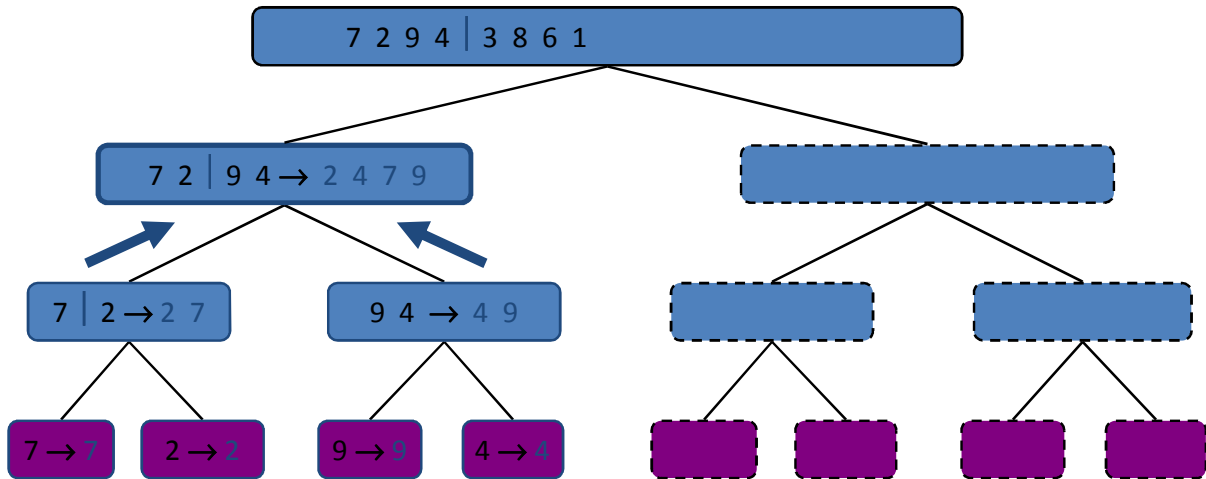
Execution (cont.)

```

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  if p < r
    then q ← ⌊(p + r)/2⌋
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    merge(A, p, q, r)

```

- Merge



Merge Sort

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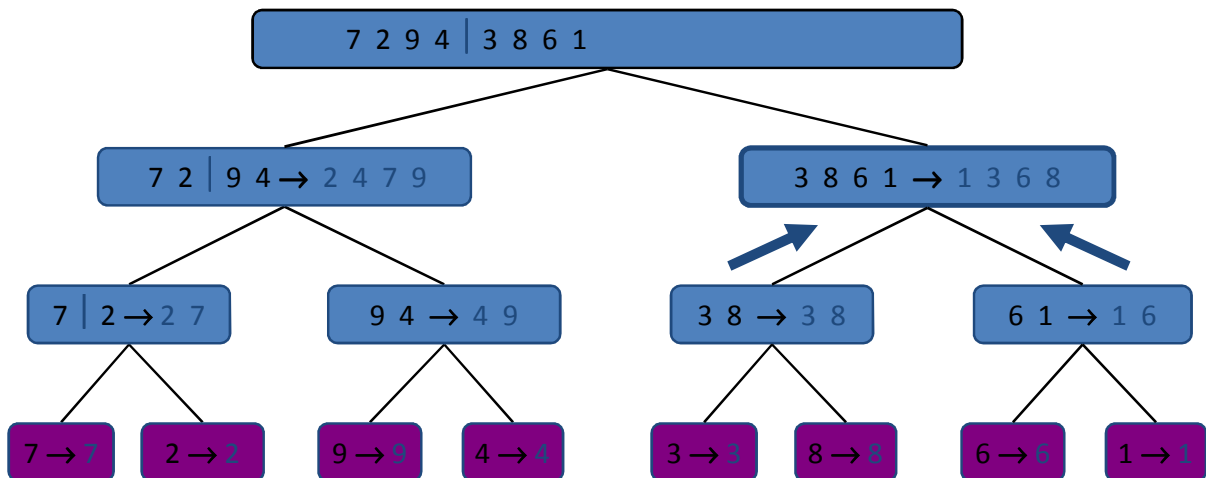
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```

- Recursive call, ..., merge, merge



Merge Sort

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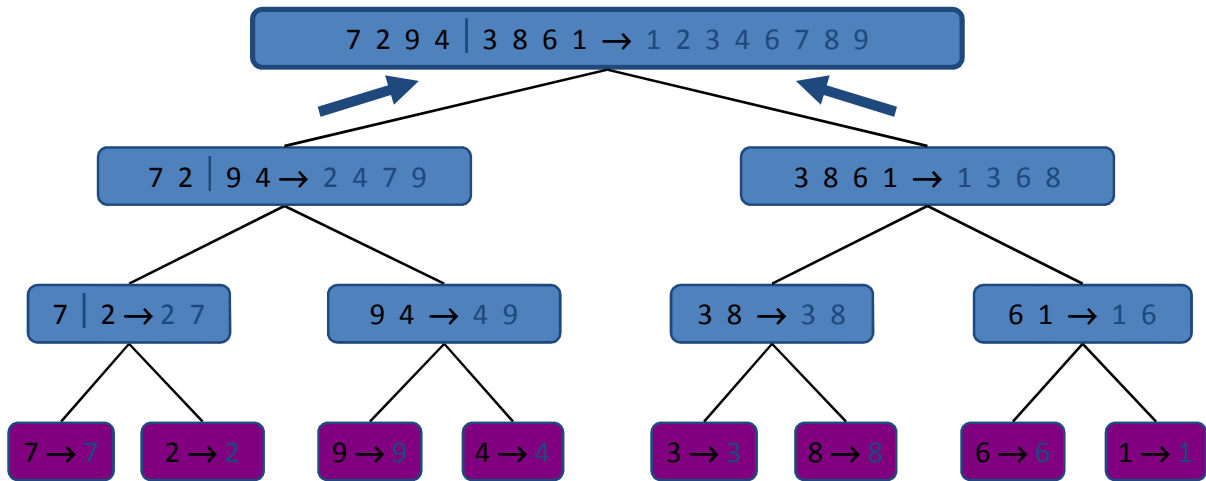
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```

- Merge



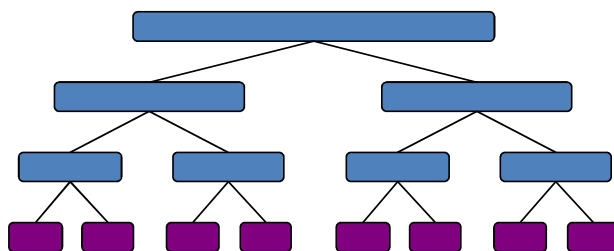
Merge Sort



Analysis of Merge-Sort

- The height h of the merge-sort tree is $O(\log n)$
 - at each recursive call we divide in half the sequence,
- The overall amount of work done at the nodes of depth i is $O(n)$
 - we partition and merge 2^i sequences of size $n/2^i$
 - we make 2^{i+1} recursive calls
- Thus, the total running time of merge-sort is $O(n \log n)$

depth	#seqs	size
0	1	n
1	2	$n/2$
i	2^i	$n/2^i$
...



Merge Sort



Recursive Algorithms: Analysis

- Recurrence (or Recurrence Equation)

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq c \\ aT(n/b) + D(n) + C(n) & \text{otherwise} \end{cases}$$

- Parts

- Solution for smallest subdivision (Terminating condition)
- Subdivision relation ($aT(n/b)$)
- Division efforts ($D(n)$)
- Combining effort ($C(n)$)



Merge Sort: Algorithmic Analysis

- Recurrence for merge sort

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 2T(n/2) + \Theta(n) & \text{for } n > 1 \end{cases}$$



Solving Recurrence

- Substitution Method
- Recursion-tree Method
- Master Method



Binary Search

```
BinarySearchIterative(x, A) {  
    left = 0;  
    right = length(A) - 1;  
    while (left <= right) {  
        mid = (left + right) / 2  
        if (A[mid] == x)  
            return mid;  
        else if (A[mid] > value)  
            right = mid - 1  
        else  
            left = mid + 1  
    }  
    return (-1) // not found  
}
```

```
BinarySearchRecursive(x, A, left, right) {  
    If (left > right)  
        return (-1) // not found  
    else {  
        mid = (left + right) / 2  
        if (A[mid] == x)  
            return mid;  
        else if (A[mid] > value)  
            return BinarySearchRecursive(x, A, left, mid-1);  
        else  
            return BinarySearchRecursive(x, A, mid+1, right);  
    }  
}
```



Recursion vs. iteration

- Recursion is inefficient
 - "function-call overhead"
- Recursion can be replaced by iteration
- Problems whose solutions are inherently recursive



Tail Recursion vs. Augmented Recursion

Tail Recursion

- Functions ending in a recursive call
- Does not build deferred operations
- Similar to iteration

```
gcd(x, y) {  
  if (y == 0)  
    return x;  
  else  
    return gcd(y, x % y); }
```

Augmented Recursion

- builds up deferred operations
- Inefficient
- Iteration is preferred

```
int fact(int n) {  
  if (n == 1)  
    return 1;  
  else  
    return n * fact(n - 1); }
```



Summary

- Recursion is a tool to solve problems using “Divide and Conquer” approach
 - Divide the problem into sub problems,
 - Solving sub problems recursively, and
 - combining the solutions
- Tail Recursion is a preferred form of Recursion