

This basis has Hadamard ratio $\mathcal{H} = 0.956083$, which is even better than Alice's good basis. Eve next applies Babai's algorithm (Theorem 7.34) to find a lattice vector

$$\mathbf{v} = (-79081423, -35617459, 11035471)$$

that is very close to \mathbf{e} . Finally she writes \mathbf{v} in terms of the original lattice vectors,

$$\mathbf{v} = 86\mathbf{w}_1 - 35\mathbf{w}_2 - 32\mathbf{w}_3,$$

which retrieves Bob's plaintext $\mathbf{m} = (86, -35, -32)$.

7.14.4 Applying LLL to NTRU

We apply LLL to the NTRU cryptosystem described in Example 7.53. Thus $N = 7$, $q = 41$, and the public key is the polynomial

$$\mathbf{h}(x) = 30 + 26x + 8x^2 + 38x^3 + 2x^4 + 40x^5 + 20x^6.$$

As explained in Sect. 7.11, the associated NTRU lattice is generated by the rows of the matrix

$$M_{\mathbf{h}}^{\text{NTRU}} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 30 & 26 & 8 & 38 & 2 & 40 & 20 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 20 & 30 & 26 & 8 & 38 & 2 & 40 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 40 & 20 & 30 & 26 & 8 & 38 & 2 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 2 & 40 & 20 & 30 & 26 & 8 & 38 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 38 & 2 & 40 & 20 & 30 & 26 & 8 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 8 & 38 & 2 & 40 & 20 & 30 & 26 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 26 & 8 & 38 & 2 & 40 & 20 & 30 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 41 \end{pmatrix}.$$

Eve applies LLL reduction to $M_{\mathbf{h}}^{\text{NTRU}}$. The algorithm performs 96 swap steps and returns the LLL reduced matrix

$$M_{\text{red}}^{\text{NTRU}} = \begin{pmatrix} 1 & 0 & -1 & 1 & 0 & -1 & -1 & -1 & 0 & -1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & -1 & 0 & 1 & -1 & -1 & -1 & 0 & 1 & 0 & 1 & 0 \\ -1 & 1 & 0 & -1 & -1 & 1 & 0 & -1 & 0 & 1 & 1 & 0 & -1 & 0 \\ -1 & -1 & 1 & 0 & -1 & 1 & 0 & 1 & 0 & -1 & 0 & -1 & 0 & 1 \\ -1 & 1 & 0 & -1 & 1 & 0 & -1 & 0 & -1 & 0 & -1 & 0 & 1 & 1 \\ -1 & -1 & -1 & -1 & -1 & -1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & -1 & 1 & -1 & -1 & 0 & 0 & 2 & 0 & 0 \\ -8 & -1 & 0 & 9 & 0 & -1 & 0 & -4 & 2 & 6 & 0 & -4 & 7 & -7 \\ 8 & 1 & 0 & 0 & -8 & -1 & 2 & 0 & -5 & 8 & -7 & -3 & 1 & 6 \\ 0 & -9 & -2 & 1 & 9 & -1 & 0 & -6 & -3 & 2 & 5 & 0 & -5 & 7 \\ 0 & 8 & 0 & -9 & -1 & -8 & 8 & 2 & 7 & -11 & 3 & -5 & 2 & 2 \\ 1 & 0 & 0 & 9 & 2 & -1 & -9 & 5 & -7 & 6 & 3 & -2 & -5 & 0 \\ -2 & 1 & 9 & -1 & 0 & 0 & -9 & 2 & 5 & 0 & -5 & 7 & -6 & -3 \\ 3 & 2 & 3 & 3 & -6 & 2 & -6 & 11 & 6 & 8 & 0 & 9 & 5 & 2 \end{pmatrix}.$$

We can compare the relative quasi-orthogonality of the original and the reduced bases by computing the Hadamard ratios,

$$\mathcal{H}(M_h^{\text{NTRU}}) = 0.1184 \quad \text{and} \quad \mathcal{H}(M_{\text{red}}^{\text{NTRU}}) = 0.8574.$$

The smallest vector in the reduced basis is the top row of the reduced matrix,

$$(1, 0, -1, 1, 0, -1, -1, -1, 0, -1, 0, 1, 1, 0).$$

Splitting this vector into two pieces gives polynomials

$$\mathbf{f}'(x) = 1 - x^2 + x^3 - x^5 - x^6 \quad \text{and} \quad \mathbf{g}'(x) = -1 - x^2 + x^4 + x^5.$$

Note that $\mathbf{f}'(x)$ and $\mathbf{g}'(x)$ are not the same as Alice's original private key polynomials $\mathbf{f}(x)$ and $\mathbf{g}(x)$ from Example 7.53. However, they are simple rotations of Alice's key,

$$\mathbf{f}'(x) = -x^3 \star \mathbf{f}(x) \quad \text{and} \quad \mathbf{g}'(x) = -x^4 \star \mathbf{g}(x),$$

so Eve can use $\mathbf{f}'(x)$ and $\mathbf{g}'(x)$ to decrypt messages.

Exercises

Section 7.1. A Congruential Public Key Cryptosystem

7.1. Alice uses the congruential cryptosystem with $q = 918293817$ and private key $(f, g) = (19928, 18643)$.

- What is Alice's public key h ?
- Alice receives the ciphertext $e = 619168806$ from Bob. What is the plaintext?
- Bob sends Alice a second message by encrypting the plaintext $m = 10220$ using the random element $r = 19564$. What is the ciphertext that Bob sends to Alice?

Section 7.2. Subset-Sum Problems and Knapsack Cryptosystems

7.2. Use the algorithm described in Proposition 7.5 to solve each of the following subset-sum problems. If the "solution" that you get is not correct, explain what went wrong.

- $\mathbf{M} = (3, 7, 19, 43, 89, 195)$, $S = 260$.
- $\mathbf{M} = (5, 11, 25, 61, 125, 261)$, $S = 408$.
- $\mathbf{M} = (2, 5, 12, 28, 60, 131, 257)$, $S = 334$.
- $\mathbf{M} = (4, 12, 15, 36, 75, 162)$, $S = 214$.

7.3. Alice's public key for a knapsack cryptosystem is

$$\mathbf{M} = (5186, 2779, 5955, 2307, 6599, 6771, 6296, 7306, 4115, 637).$$

Eve intercepts the encrypted message $S = 4398$. She also breaks into Alice's computer and steals Alice's secret multiplier $A = 4392$ and secret modulus $B = 8387$. Use this information to find Alice's superincreasing private sequence \mathbf{r} and then decrypt the message.